

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur Willard CHAFFEE

Title: INVESTMENT PORTFOLIO
TRACKING SYSTEM AND
METHOD

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PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Preliminary to examination, please cancel claims 1-48 and insert the following new claims 49-70.

49. (New) A method of generating performance data including a net worth calculation on investments held in at least one account, said method comprising the steps of:

receiving a request for the performance data including the net worth calculation from a user over the Internet;

accessing a first database that is remotely located with respect to the requesting user, in which transaction records of said at least one account are maintained;

retrieving transaction records that are necessary to generate said performance data from said first database, the transaction records including data on cash invested and purchases and sales;

accessing a second database in which price data are maintained;

retrieving price data that are necessary to generate said performance data from said second database;